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BERICHTE UND KLEINE MITTEILUNGEN

ON THE STUDY OF EVAPOTRANSPIRATION AND WATER BALANCE ¹)

With 2 figures

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Studies of regional climatology can be pursued along different lines. The dynamic and synoptic climatologist emphasizes general circulation, type of flow, air mass, perturbation, and other advective features. The microclimatologist, on the other hand, is concerned with flux of heat, momentum, and water vapor at or near the ground surface. The dynamic approach elucidates the genesis of climate on a regional or continental scale, while the micro-climatological analysis brings out local variations shaped by the interaction between the atmosphere and the terrain. WALLEN has suggested that the two approaches be combined in a climatic classification: to recognize the major types in terms of general circulation and to delineate the subtypes on the basis of energy and moisture conditions²). Although it is questionable that WALLEN's scheme is rational or feasible, he realizes that the dynamic approach alone cannot satisfy the need of geographers. The study of energy budget and water balance has applications to a wide range of problems, such as the ecology of the plant community, agricultural planning, flood control, water resources management and the like.

American geographers, attracted by the rapid development of dynamic meteorology in recent years, have devoted little effort to the study of energy budget and water balance. This is evident when one compares American geography: inventory and prospect³) with Soviet geography: accomplishment and tasks 4). Much of the microclimatological research in the United States has been left to the soil scientist, agronomist, hydrologist, civil engineer, and others, whose works are widely scattered and not apprehended by most geographers. Many of the microclimatological investigations by soil and plant scientists are, however, concerned with a small field for a short period of time. Their methods and results are not applicable to regional analysis unless skill is developed to generalize for a large area the observations of a point. The purposes of this paper are to review the progress in the study of evapotranspiration and water balance, to single out the methods that can be used for large-scale regionalization, and to outline the areas where further research is needed.

Definition of evapotranspiration

Evapotranspiration is the combined evaporation from all surfaces and transpiration from the plants. Except for the omission of a negligible amount of water used in the metabolic activities, evapotranspiration is the same as the "consumptive use" of plants. Evapotranspiration is a primary physical process in the ground-air interface, its intensity determining, in part, the temperature and moisture content of the air and the soil. lt would be wrong to consider it a derived or secondary quantity.

As the rate of evapotranspiration from a partially wet surface is greatly affected by the nature of the ground, it is convenient first to consider the case when water supply is unlimited. This leads to the concept of potential evapotranspiration, which PENMAN defines as "the amount of water transpired in unit time by a short green crop, completely shading the ground, of uniform height and never short of water 5)." This definition, though generally accepted, suffers from a lack of precision in at least two counts. First, the "short green crop" is not specified. PENMAN argues

¹) Published with the approval of the Director as Paper No. 46 in the Journal Series of the Experiment Station, Hawaiian Sugar Planters' Association, Honolulu, Hawaii. Acknowledgment is due Drs. J. B. LEIGHLY, R. A. BRYSON,

H. E. LANDSBERG and P. C. EKERN for their criticisms.
²) C. C. WALLÉN, "Climatology and Hydrometeorology with Special Regard to the Arid Lands," in: Problems of the Arid Zone, UNESCO (1962), pp. $53-81$.
³⁾ P. F. JAMES and C. E. JONES, Eds. Ameri

⁾ P. E. JAMES and C. F. JONES, Eds., American Geography, Inventory and Prospect (Syracuse Univerity Press, 1954).

⁴) 1. P. GERASMOV, Eds. Soviet Geography: Accomplishments and Tasks, translated by L. ECKER (American Gcogr. Society, 1962).

⁵) H. E. PENMAN, "Evaporation: an Introductory Survey," Netherlands Journal of Agricultural Science, Vol. 4 (1956) , pp. 9-29.

that when the cover is complete, the potential evapotranspiration is determined primarily by weather and not affected by the plant species provided that they have the same albedo. This is probably true for the crops commonly used in evapotranspiration experiments; however, some plants, such as pineapple in the tropics and liehen in the arctic, do have a very different water requirement from conventional crops. Therefore, the potential evapotranspiration should be defined as the water needs by lawn grass or some other specific vegetation.

The second and more serious flaw lies in the fact that PENMAN's definition does not spell out the size of the field and the conditions in the surrounding areas. In other words, no provision is made for the effect of advecced energy. In a humid climate, where evaporation usually takes place in what PENMAN calls the "mid-ocean" environment⁶), advected energy is not a serious problem. In an arid or semi-arid climate, however, the existence of large advection of energy renders the concept of potential evapotranspiration as defined above inexacc and unrealistic. For, if potential evapotranspiracion requires by definition an extended evoporating surface upwind or the absence of any advected energy, then the climate is no longer arid.

Recently, PRUITT coined the term "potential maximum evapotranspiration" to designate the situation when advected energy is present 7). This would certainly remove a confusion. Thus, one should not expect an empirical formula for potential evapotranspiracion derived in the humid climace to be adequate in escimacing the potential maximum evapotranspiracion in an arid area. Az1z, for instance, has found it necessary to add an advective term to the PENMAN equation in order to apply it to the arid West⁸). The disturbing problem of advected energy will be discussed fully in a later section.

Methods of Determining potential Evapotranspiration

Method of determining or estimating potential evapotranspiration fall into five general categories: (1) Direct measurement by lysimeters, (2) the aerodynamic approach based on ehe physics of vapor transfer process, (3) the energy budget approach, (4) empirical formulae using one or more common climatic factors, and (5) the use of evaporimeters. Some of the methods are primarily research tools for the better understanding of the physical process; others may be called "operational" in the sense that they can be applied to a large area for actual operation of regional planning.

The "operational" methods use either inexpensive field instruments or readily available mean climatic data. Since the use of mean monthly or daily values must depend upon a crude correlation between instantaneous and mean values, the cmpirical formulae cannot be as accurate as the elaborate "research" methods. TANNER maintains that for day-to-'day Operation, agriculturists can tolerate an error of ten per cent in estimating daily evaporation 9). Likewise, for the purpose of comparative climatology on a continental scale or for regional analysis on a long-term basis, geographers can use to advantage any method that provides monthly estimates within a ten per cent error. Although geographers rely largely on "operational" methods for regionalization, ehe "research"

Lysimeter

mechods could serve as a check, in addition to providi ng accurate records for a limited number of

The lysimeter, particularly the weighing type, is the most direct and accurate instrument for the determination of evapotranspiration. A recent installation at Tempe, Arizona, for example, weighs a 3,000 kg mass to an accuracy of 10 g or a water-depth equivalent of 0.01 mm 10). The weighing lysimeter is capable of measuring evapotranspiration for a period as short as ten minutes. The large weighing lysimeters at Tempe, Arizona, Davis, California, and Coshocton, Ohio certainly should be used as "reference" stations in any study of water balance in the United States.

Drainage lysimeters operate on the principle that evapotranspiration can be decermined if the amounts of rainfall, runoff, and percolation in a plant-soil system are known. Since percolation is a slow process, ehe drainage lysimeter is accurate only for a period of three days or longer, a time interval adequate for most climatological investigations.

The high cost of installation and maintenance precludes the use of the lysimeter as a routine meteorological instrument. Most of the lysimeters are used by plant and soil scientists for ehe study of a specific problem. In their exhaustive survey of lysimetry, KoHNKE, DREIBELBIS, and DAVIDSON counted a total of nearly 150 lysimeters throughout the world prior to 1940 11). The number of lysimeters has since mulciplied. This mass of data has rarely been used by climatologists, partly because the information is widely scattered and partly because of its lack of homogeneity. The border effect, caused by difference in exposure and cultural treatment inside and outside ehe lysimeter, is often a serious problem. Nevertheless, much valuable information can be salvaged if an attempt is made to assemble all the records and adjust them to a comparable basis.

_ stations.

⁶) Ibid.

⁷⁾ W. 0. PRUITT, Correlation of Climatological Data with Water Requirement of Crops (Department of Irrigation, University of California, 1960), p. 18.

⁸⁾ M. A. Az1z, "The Influence of Advective Energy on Evapotranspiration," Utah Statc Univcrsity M. S. thesis, 1962.

⁹) C. B. TANNER, "A simple Aero-heat Budget Method for Determining Daily Evapotranspiration," Transactions, 7th International Congress of Soil Science, Madison (1960), pp. 203-209.

¹⁰) C. H. M. van Bavet and R. J. Reginato, "Precision Lysimetry for Direct Measurement of Evaporation Flux,' International Symposium on the Methodology of Plant Eco-Physiology, Montpellier, France (1962), 17 pp.

¹¹⁾ H. KoHNKE, F. R. DREtBELBIS and J. M. DAVIDSON, A Survey and Discussion of Lysimeters and a Bibliography on Their Construction and Performance (U.S.D.A. Miscellaneous Publication, 1940), 68 pp.

*Ae*r*ody*n**a***mic* **a***pp*r*o***a***ch*

The aerodynamic approach rests on the assumption that the upward flow of water vapor is equal to the product of the vertical gradient of vapor pressure and the rate of mixing of the air. The rate of mixing, expressed as a coefficient of diffusion, is dependent upon the rate of change with height of the wind speed. By comparing wind and vapor profiles, THORNTHWAITE and HoLZMAN 12) derived an expression for evapotranspiration over short vegetation which was later expanded by PASQUILL 13) for tall crops. The Pasquill equation reads:

$$
E = \frac{\varrho \; k^2 \left(u_2 - u_1 \right) \, q_1 - q_2 \right)}{\left(\frac{(\ln \, z_2 - d)^2}{z_1 - d} \right)}
$$

Where E, ρ , and k are the rate of evaporation, the air density and von Karman's constant respectively; u_1 , u₂, q₁, and q₂ are the wind speeds and specific humidities at the height z_1 and z_2 , and d the zero plane displacement.

This equation depends for its validity on the propositions: (1) that in the normally turbulent atmophere near the ground the eddy diffusivities for momentum and water vapor are identical and (2) that the wind profile near the ground satisfies the equation:

$$
u = \frac{1}{k} \sqrt{\sum_{\rho} \frac{\ln (z - d)}{z_0}}
$$

where u is the wind velocity at the height z; λ , the shearing stress; and z_0 , the roughness parameter.

These two propositions are valid only under the rare occasion of neutral stability. Under stable conditions when evaporation is great, eddy diffusivity for vapor, measured at a height of 75 cm, could be up to four times as great as eddy diffusivity for momentum 14). Furthermore, the ratio between eddy diffusivities for vapor and momentum is not constant with height. VAN BAvEL and FRITSCHEN have cautioned that the vapor flux at some height above the ground is simply not equal to the vapor flux at the surface 15).

To describe the wind profile under conditions other than neutral stability, DEACON has found it necessary to include in the equation a stability index of the Richardson number, which is difficult to evaluate 16). Theoretically, the roughness parameter indicates the height above the ground at which the mean wind is zero, while zero plane displacement is approximately the depht of the layer of air trapped among the plants or the virtual sink for momentum. Both z_0 and d have profound micrometeorological significance, a point to be discussed later. lt needs to be emphasized here that z_0 and d are extremely difficult to determine because they vary wich wind speed in a complicated manner 17). INOUE has observed that for a rice crop of 90 cm height ehe value of d varies from 35 to 90 cm and z**0** from 7 to 18 cm for wind speed up to 10 m/sec at the 150 cm height **1***8).* In this connection, it may be argued that maps of aerodynamic roughness which THORNTHWAITE urges geographers to construct are of little value¹⁹). The roughness map can be translated fairly accurately from a physiognomic vegetation map.

It is clear that the aerodynamic approach in its present form is fraught wich theoretical difficulties. Extensive tests of the method over Lake Hefner, the surface of which is smoother than that of vegetation, have not been encouraging **20).**

Realizing the limitations of the Thornthwaite-Holzman type of approach, SwINBANK²¹) was the first to attempt direct measurement by the so-called eddy correlation technique. It can readily be shown that the eddy flux of water vapor is proportionate to the time covariance of the vertical eddy velocity and specific humidity perturbation. The difficulty lies in the design of instruments, particularly the sensing elements and the automatic data computer. Considerable progress has been made by ehe group of scientists at C.S.I.R.O. in Australia²²); when their instrument is perfected for field use, it will undoubtedly be the ideal method.

*The Bowe*n r**a***tio*

Evapotranspiration is a process of turbulent transfer as weil as of energy transformation. The part of solar energy retained by the ground is called net radiation, which is disposed of mainly in three ways: Heat flux to the soil, heat flux to the air, and evatranspiration. Since the net radiation and the heat flux to the soil can be measured by instruments, it remains only to partition the energy used in evapo-

¹²) C. W. THORNTHWAITE and B. HOLZMAN, "The Determination of Evaporation from Land and Water Surface," Monthly Weather Review, Vol. 67 (1939), pp. 4-11.

¹³*)* F. PASQUILL, "Some Further Consideration of the Measurement and Indirect Evaluation of Natural Evaporation," Quarterly Journal, Royal Meteorological Society, Vol. 76 (1950), pp. 287-301.

¹⁴*)* F. PASQUILL, "Eddy Diffusion of Water Vapor and Heat Near the Ground," Proceedings, Royal Society London, Ser. A., Vol. 198 (1949), pp. 116-140.

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¹⁶⁾ E. L. DEACON, "Vertical Profiles of Mean Wind in the Surface Layers of the Atmosphere", Geophysical Memoirs, No. 191, London (1953).

¹⁷*)* N. E. Rt0ER, "Evaporation from an Oat Field", Quartcrly Journal, Royal Meteorological Society, Val. 80 (1954), pp. 198-211.

¹⁸⁾ E. lNOUE, "The Environment of Plant Surfacc," Canberra Symposium on Environmental Control of Plant Growth, 1962.

¹⁹) C. W. THORNTHWAITE, "The Task Ahead," Annals, Association of American Geographers, Val. 51 (1961), p. 351.

²⁰*)* G. E. HARBECK, "The Lake Hefner Water-Loss Investigations," International Union of Gcodesy and Geophysics. International Association of Scientific Hydrology, General Assembly of Toronto, Vol. 3 (1958), pp. $437-43$.

²¹*)* W. C. Sw1NBANK, "The Measurement of Vertical Transfer of Heat and Water Vapor by Eddies in the Lower Atmosphere, with Some Results," Journal of Meteorology, Vol. 8 (1951), pp. 135-45.

²²⁾ A. J. DYER, "Mcasurements of Evaporation and Heat Transfer in the Lower Atmosphere by an Automatie Eddycorrelation Technique," Quarterly Journal, Royal Meteorological Society, Vol. 87 (1961), pp. 401-12.

tr**a**n*spi*r**a**t*i*on **a**n*d i*n he**a**t*i*n*g* the **a***i*r. Bown h**a***s d*emon*s*tr**a**te*d* th**a**t th*is* t**a**ke*s pl***a***c*e **a***cc*or*di*n*g* to the fo*ll*ow*i*n*g* form*ul***a** 23):

$$
\beta = \frac{A}{E} = 0.659 \left(\frac{K_h}{K_e}\right) \frac{(T_s - T_a)}{e_s - e_a}
$$

where β is the Bowen ratio; A, the heat flux to the **a***i*r; K1i **a**n*d* Ke, e*dd*y *di*ff*usi*v*i*t*i*e*s* for he**a**t **a**n*d* v**a***p*or respectively; T_s, temperature of the surface; T_a, air temperature; e_s, vapor pressure of the surface; and e_a, v**a***p*or *p*re*ssu*re of the **a***i*r.

By **a***ssu*m*i*n*g* eq*u***a***li*ty between K1i **a**n*d* Ke, the Bowen r**a**t*i*o *c***a**n be *s*o*l*ve*d* from me**a***su*rement*s* of tem*p*er**a**t*u*re **a**n*d* v**a***p*or *g*r**a***di*ent*s*. The **a**mo*u*nt of ev**a***p*otr**a**n*spi*r**a**t*i*on *c***a**n be *c*om*pu*te*d* by the ex*p*re*ssi*on

$$
E = \frac{(R_n - S)}{(1 + \beta)}
$$

where R**11** *is* net r**a***di***a**t*i*on **a**n*d* S the he**a**t f*lu*x to the *s*o*il*.

lt *is* tr*u*e th**a**t K¹1 **a**n*d* Ke **a**re *p*rob**a**b*l*y not *id*ent*ic***a***l* even *u*n*d*er ne*u*tr**a***l c*on*di*t*i*on*s*, **a**n*d* v**a**ry *g*re**a**t*l*y w*i*th therm**a***l s*tr**a**t*i*f*ic***a**t*i*on *du*e to the bo*u*y**a**n*c*y effe*c*t 24). B*u*t the ener*g*y b**a***l***a**n*c*e metho*d is* re*l***a**t*i*ve*l*y *i*n*s*en*si*t*i*vo to **a**n *i*n*c*orre*c*t **a***ssu*m*p*t*i*on *c*on*c*ern*i*n*g* the *di*ff*usi*v*i*t*i*e*s* **a**n*d* to the e*s*t*i*m**a**te of the Bowen r**a**t*i*o. T ANN*E*R h**a***s s*hown th**a**t the Bowen r**a**t*i*o **a***pp*ro**a***c*h fails only when the value of β is less than $\overline{-}$ 0.5 ²⁵). Th*is* o*ccu*r*s* on*l*y **a**t *su*nr*is*e, *su*n*s*et **a**n*d du*r*i*n*g* the n*ig*ht when the ev**a***p*or**a**t*i*on *is s*m**a***ll*.

The ener*g*y b*udg*et *usi*n*g* the Bowen r**a**t*i*o *is* e**a***si*er to o*p*er**a**te **a**n*d* more **a***ccu*r**a**te th**a**n the **a**ero*d*yn**a**m*ic* metho*d* in me**a***su*r*i*n*g* the *p*otent*i***a***l* ev**a***p*otr**a**n*spi*r**a**t*i*on *i*n **a** h*u*m*id cli*m**a**te. However, the ev**a***p*otr**a**n*spi*r**a**t*i*on r**a**te *i*n the ener*g*y b*udg*et *is* r*igidl*y *li*m*i*te*d* by the net r**a***di***a**t*i*on **a**n*d* hen*c*e w*ill u*n*d*ere*s*t*i*m**a**te the *p*otent*i***a***l* m**a**x*i*m*u*m ev**a***p*otr**a**n*spi*r**a**t*i*on *i*n **a**re**a***s* of *s*tron*g* **a***d*ve*c*t*i*on.

To *d*eterm*i*ne the Bowen r**a**t*i*o **a***ccu*r**a**te*l*y, *i*t *is* ne*c*e*s*s**a**ry to t**a**ke *i*n*s*t**a**nt**a**neo*us* re**a***di*n*gs*. Me**a**n *d***a***il*y v**a***lu*e*s* **a**re m*isl*e**a***di*n*g*. The *!***a**r*g*e tem*p*er**a**t*u*re *i*nver*si*on **a**n*d* the *s*m**a**l*l* v**a***p*or *p*re*ssu*re *g*r**a***di*ent*s du*r*i*n*g* the n*ig*ht *gi*ve *u*n*du*e we*ig*ht to n*ig*htt*i*me *g*r**a***di*ent*s* **a***s c*om*p***a**re*d* w*i*th the we*ig*ht *gi*ven *d***a**yt*i*me *g*r**a***di*ent*s* wh*ic*h o*ccu*r *du*r*i*n*g* the h*ig*h ener*g*y f*lu*x *p*er*i*o*ds*. Th*us* the *p*rof*i*le me**a***su*rement*s* for *c*om*pu*t*i*n*g* the Bowen r**a**t*i*o *p*re*s*ent *p*rob*l*em*s* not fo*u*n*d i*n or*di*n**a**ry meteoro*ligic***a***l* ob*s*erv**a**t*i*on*s*. Unle*ss* the metho*d c***a**n be *si*m*pli*f*i*e*d* or *u*n*l*e*ss* the re*sul*t*s* obt**a***i*ne*d i*n few *s*t**a**t*i*on*s g*ener**a***li*ze*d*, the Bowen r**a**t*i*o **a***pp*ro**a***c*h w*ill* rem**a***i*n **a** re*s*e**a**r*c*h too*l* **a**n*d d*oe*s* not *!*en*d i*t*s*e*l*f to re*gi*on**a***li*z**a**t*i*on.

Simple energy budget

Me**a***su*rement*s* of the ener*g*y b*udg*et *i*n Ont**a**r*i*o 26), *N*orth C**a**ro*li*n**a** 27), M*iss*o*u*r*i* **28),** H**a**w**a***ii* **20),** C**a***li*forn*i***a** 30), the *N*ether*l***a**n*ds* 31), **a**n*d* En*g*l**a**n*d* **32)** *i*n*dic***a**te th**a**t *i*n the tro*pics* **a**n*d du*r*i*n*g* the w**a**rm *s*e**a***s*on *i*n m*idl***a**t*i*t*ud*e*s*, 8*0* to 9*0 p*er *c*ent of the net r**a***di***a**t*i*on *is c*on*su*me*d i*n ev**a***p*otr**a**n*spi*r**a**t*i*on. The *l*ower v**a***lu*e of 8*0* to 8*5 p*er *c*ent **a**re *p*rob**a**b*l*y *c*orre*c*t be*c***a***us*e **a** *s*m**a***ll* **a**mo*u*nt of **a***d*ve*c*te*d* ener*g*y ex*is*t*s* even *i*n **a** h*u*m*id c*l*i*m**a**te. Therefore, there *is* the *p*ro*sp*e*c*t th**a**t whenever net r**a***di***a**t*i*on *c***a**n ne me**a***su*re*d* or e*s*t*i*m**a**te*d*, even **a** *c*r*ud*e **a***pp*ort*i*onment w*ill p*erm*i*t the *p*otent*i***a***l* ev**a***p*otr**a**n*spi*r**a**t*i*on to be e*s*t*i*m**a**te*d* w*i*th mo*d*er**a**te **a***ccu*r**a***c*y. Better *u*n*d*er*s*t**a**n*di*n*g* of the *s*e**a***s*on**a***l* **a**n*d sp***a**t*i***a***l* v**a**r*i***a**t*i*on*s* of the Bowen r**a**t*i*o wo*uld* f*u*rther *i*m*p*rove the **a***ccu*r**a***c*y of the *si*m*pl*e ener*g*y b*udg*et **a***pp*ro**a***c*h.

D*i*re*c*t me**a***su*rement*s* of net r**a***di***a**t*i*on **a**re me**a***g*er. The re*c*ent *d*evelo*p*ment of the *s*o-*c***a***ll*e*d* net r**a***di*ometer *is* en*c*o*u*r**a***gi*n*g* 33); b*u*t *u*nt*il* the *us*e of the net r**a***di*ometer be*c*ome*s* w*id*e*sp*re**a***d*, *cli*m**a**to*l*o*gis*t*s* w*i*ll re*l*y on *i*n*di*re*c*t metho*ds*. *N*et r**a***di***a**t*i*on *c***a**n be e*s*t*i*m**a**te*d* e*i*ther by *c*orre*l***a**t*i*on w*i*th *i*n*c*om*i*n*g* r**a***di***a**t*i*on or by *c*om*pu*t*i*n*g* the *di*fferen*c*e between the *i*n*c*om*i*n*g* **a**n*d* the **a***l*be*d*o **a**n*d* b**a***c*k r**a***di***a**t*i*on. The *l***a**tter metho*d is* not **a***ccu*r**a**te *p*r*i*m**a**r*il*y be*c***a***us*e of the *di*ff*icul*ty *i*n e*s*t*i*m**a**t*i*n*g* b**a***c* k r**a***di***a**t*i*on. The St**a**n*d***a**r*d* Br*u*nt form*ul***a** 34 for b**a***c*k r**a***di***a**t*i*on *s*er*i*o*usl*y *u*n*d*ere*s*t*i*m**a**te*s* the *l***a**tter q*u***a**nt*i*ty *du*r*i*n*g* o*cc***a***si*on*s* of *s*tron*g* he**a**t*i*n*g* be*c***a***us*e *i*t *us*e*s* **a***i*r tem*p*er**a**t*u*re *i*n*s*te**a***d* of the *g*ro*u*n*d* tem*p*er**a**t*u*re. C**a***lcul***a**t*i*on*s s*how th**a**t *i*n the *c***a***s*e of **a** *g*r**a***ss su*rf**a***c*e 2*0* ° F w**a**rmer th**a**n the **a***i*r, the net o*u*t*g*o*i*n*g* r**a***di***a**t*i*on m**a**y be *50* °/o more th**a**n th**a**t e*s*t*i*m**a**t-

27) *D*. G. HARRIS an*d* C. H. M. *V*AN BA*V*E*L*, "A Co*m*parison of Mcasure*d* an*d* Co*m*pute*d* Evapotranspiration fro*m* Ber*m*u*d*agrass an*d* Sweec Corn," Agrono*m*y Abstracts (1958), p. 37.

28) J. F. GERBER an*d W*. L. *D*ECKER, A Co*m*parison of Evapocranspiracion as Esti*m*acc*d* by the Heat Bu*d*get an*d* Mcasure*d* by the *W*ater Balance fro*m* a Corn Fiel*d*, University of Missouri Final *R*eport US*W*B Contracc Cwb-956, 1 960.

²⁹) JEN-HU CHANG, "Microclimate of Sugar Cane," Hawaiian Plancers' *R*ecor*d*, Vol. 56 (1961), pp. 195-225.

30) M. H. HA*L*STEAD, *T*he Flux of Mo*m*encu*m*, Heat, an*d W*acer Vapor in Microcli*m*atology, Laboracory of Cli*m*acology Publicacion, Vol. 7, No. 2 (1954).

³¹) D. W. SCHOLTE UBING, "Studies on Solar and Net *R*a*d*iation an*d* on Evapotranspiration of Grass" Me*d*e*d*.

Lan*d*bouws. School, *W*ageningen, Vol. 59 (1959), pp. 1-93. 32) G. J. Hou*s*E, N. E. *R*mER, an*d* C. P. *T*uGWE*LL*, *"*A Surface Energy-Balance Computer," Quarterly Journal,

Royal Meterological Society, Vol. 86 (1960), pp. 215–31.
³³) V. E. Soumi, M. Franssila, and N. F. Islitzer, "An I*m*prove*d* Net *R*a*d*iation Instru*m*ent," Journal of Meteorolgy, Vol. 12 (1954), pp. 276-82.

L. J. FRISTCHEN, *"*Construction an*d* Calibration of the *T*her*m*o-trans*d*ucer *T*ype Net *R*a*d*io*m*eter," Bulletin, A*m*er-

²³⁾ I. S. BowEN, *"T*he *R*atio of Heac Losses by Con*d*uction and by Evaporation from any Water Surface," Physi-

cal *R*eview, Vol. 27 (1926), pp. 779-87. 24) C. H. B. PRIEST*L*EY an*d W*. C. SWINBANK, *"*Vercical Transport of Heat by Turbulence in the Atomsphere," Procee*d*ings, *R*oyal Sociecy Lon*d*on, Ser. A, Vol. 189 (1947),

pp. 543-561. 25) C. B. *T*ANNER, *"*Energy Balance Approach eo Evapocranspiracion fro*m* Crops," Procee*d*ings, Soil Science Society of America, Vol. 24 (1960) pp. 1-9.

²⁶⁾ *W*. G. GRAHAMA an*d* K. M. K1NG, *"*Fraccion of Nec ra*d*iation Ucilize*d* in Evapotranspiracion fro*m* a Corn Crop," Procee*d*ings, Soil Sciencc Sociecy of A*m*erica, Vol. 25 (1961), pp. 158-160.

ican Meceorological Society, Vol. 41 (1960), pp. 180-183. 34) *D*. BRUNT, *"*Notes on *R*a*d*iation in the At*m*osphere : 1," Quarterly Journal, Royal Meteorolgical Society, Vol. 58 (1932), pp. 389-418.

ed from the Brunt formula ' **15).** The formula is accurate only to within 1*5* to 20 per cent for estimates o*v*er long period of time **36).**

For any locality and during any season of the year a good relationship exists between the daily incoming and net radiation, particularly if clear and cloudy days are separated, Published records in Hawaii³⁷), California 3^8), Iowa 3^9), the Netherlands 4^0), and England ⁴¹ indicate that net radiation is about two-thirds of the incoming in the tropics and during the summer in mid*-*latitudes*.* In higher latitudes the fraction of energy retained by the ground is, however, much reduced due to the higher albedo*. A*sLY*N*G in particular reported measured net radiation for Copenhagen *(55*° 40' N) a 0*.*44 fraction of sunlight for the summer months from *A*pril to *S*eptember ⁴²). The net radiation is a *v*ery conser*v*ati*v*e element; a few representati*v*e readings for a short period would permit the relationship between net and incoming radiation to be determined. *S*uch studies should be gi*v*en high priority*.*

Whate*v*er the methods of estimating net radiation may be, accurate maps of solar radiation are a prerequisite for the simple energy budget approach*.* The radiation maps constructed by BuDYKO 43) and BLACK⁴⁴) are out of date. Both of them used fewer than 200 stations throughout the world, and BLACK could find only one station in *A*sia. There are actually nearly one hundred stations in China 4⁵), Japan **46)**

3 7) JEN-HU CHANG, *o*p. cit.

38) W. *0*. PRu1TT and D. E. ANGus, C*om*paris*o*n *o*f Evap*o*transpirati*o*n *w*i*c*h *So*lar and Net Radiati*o*n and Evap*o*rati*o*n fr*om* Water *S*urface. University *o*f Ca*l*if*o*rnia, Davis (1961), pp. 74-107.

39) R*.* H. *S*HAW, "A C*om*paris*o*n *o*f *Sol*ar Radiati*o*n and Net Radiati*o*n," Bu*ll*etin, A*m*erican Mete*o*r*o*l*o*gica*l So*ciety, V*ol*. 37 (1956), p*.* 205.

40) H. *J*. DE BoER, Enkele Mecingen van de T*o*ta*l*e *S*tra*l*igsba*l*ans en Zijn Vier C*om*p*o*nenten *o*p. 1.60 *m* H*oo*gte V*o*cen ecn Gras*m*at tc De Bi*l*t. K*o*nink*l*ijk Neder*l*ands Mete*o*r*o*l*o*gisch Institute 46 (R Ill-229), 1959.

41) J. L. MoNTElTH, and G. *S*zE1cz, "The Radiati*o*n Balance *o*f Bare *So*i*l* and Vegetati*o*n," Quarterly J*o*urna*l*, R*o*yal Mete*o*r*olo*gica*l So*ciety, V*o*l. 87 (1961), p. 159.

42) H. C. AsLYNG, and B. F. N1ELSEN, "The Radiati*o*n Balance at C*o*penhagen," Archiv f*o*r Mete*o*r*o*l*o*gie, Ge*o*physik und Bi*o*k*l*i*m*at*o*l*o*gie B, V*ol*. 10 (1960), p. 23.

43) M. I. BuoYKo, Thc Heat Balance *o*f ehe Earth's *S*urface, U. *S*. Weather Bureau translati*o*n (1958), 259 pp.

44) J*.* N. BLACK, "The Distributi*o*n *o*f *So*lar Radiati*o*n *o*ver ehe Earth's *S*urface," in: Wind and *Sol*ar Energy, UNE*S*CO (1956), pp. 138-140.

45) WEN*-*JuN X1Ao, The Distributi*o*n *o*f T*o*tal Annua*l* and Seasonal Insolation in China," *S*inica, V*ol*. 30 (1959), pp. 186-190.

46) K. *S*EKIHARA, and M. KANO, On ehe Distributi*o*n and Variati*o*n *o*f *So*lar Radiati*o*n in Japan," Papers in Mete*o*r*o*l*o*gy and Ge*o*physics, V*o*l. 8 (1957), pp. 144-49*.*

and India 47), and detailed radiation maps ha*v*e also appeared in other countries⁴⁸). With the vast amount of additional information obtained during the International Geophysical Year, a re*v*ised map should soon be constructed.

E*mpirical Formulae*

Empirical relationships relating potential evapotranspiration to meteorological *v*ariables ha*v*e been de*v*eloped by TttoR*N*THWAITE **49),** BLA*N*EY and CRIOD-LE ⁵⁰), TURC⁵¹), MAKKINK⁵²), PENMAN⁵³) and others. The THORNTHWAITE formula is best known among geographers, primarily because of its climatic classification. The limitation of the TttoR*N*THWAITE type of formula using only air temperature has been discussed elsewhere ⁵⁴). lt needs only to be reiterated that air temperature represents only a small part of the energy exchange. The temperature methods work in some areas only because temperature and radiation are often highly correlated ⁵5)*.*

Experimental e*v*idence so far lends the most support to the PE*N*MAN formula as the best empirical method*.* PENMA*N* combined the energy budget and the aerodynamic approaches, and further simplified the equation in order to eliminate the need for difficult profile measurements. The final equation expresses e*v*aporation as a function of temperature, radiation, wind and humidity. The Penman formula contains an energy term and an aerodynamic term; the latter is much smaller than the former but shows greater *v*ariation o*v*er small distance 56)*.* Inasmuch as the aero-

47) A. MANJ, M. *S*. *S*wAMINATHAN, and *S*. P. VEN-KJTESHWARAN, "Distributi*o*n *o*f *S*unshine and *Sol*ar Radiation *o*ver ehe Indian Peninsula," Indian J*o*urna*l o*f

Mere*o*r*o*l*o*gy and Gc*o*physics, V*ol*. 13 (1962), pp. 195-212*.* 48) A. J. DRUMMOND and E. Vow1NEKEL, "The Distributi*o*n *o*f *Sol*ar Radiati*o*n Thr*o*ugh*o*ut *So*uthern Africa," J*o*urnal *o*f Mete*o*r*o*l*o*gy, V*ol.* 14 (1957), pp. 343-53. C*.* L. MATEER, "A Pre*l*i*m*inary Esri*m*ate *o*f ehe Average Ins*o*lati*o*n in Canada," Canadian J*o*urna*l o*f Agricu*l*tura*l*

*S*cience, V*o*l. 35 (1955), pp. 579-94. 49) C. W. THORNTHWAJTE, "An Appr*o*ach T*ow*ard a Rati*o*nal C*l*assificati*o*n *o*f C*l*i*m*ate," Ge*o*graphical Revie*w*, V*o*l. 38 (1948), pp. 55-94.

50) H. F. BLANEY and W. D. CRIDDLE, Deter*m*ining Water Require*m*ents in Irrigated Areas fr*om* Cli*m*at*olo*gica*l* and Irrigati*o*n Data, U. *S*. *So*il C*o*nserva*c*i*o*n *S*ervice, Technica*l* Paker (1950), 96 pp.

51 L. TuR , "Le Bi*l*an d'eau des s*ol*s*.* Re*l*ati*o*ns entre les precipitati*o*ns, *l*'cvap*o*rati*o*n et *l*'ec*o*u*l*e*m*enr," Anna*l*es Agr*o*n*om*iques, V*o*l. 5 (l 954), p. 491.

52) G. F. MAKKINK, "Ekza*m*en*o* de *l*a F*o*r*m*ul*o* de PEN-MAN," Nerher*l*ands J*o*urnal *o*f Agricu*l*tural *S*ciencc, V*ol*. 5 (1957), p. 290.

53) H. E. PENMAN, "Natura*l* Evap*o*rati*o*n fr*om* Open Water, Bare *So*i*l*, and Grass," Pr*o*ceedings, R*o*ya*l So*ciety,

*S*er. A, V*ol*. 193 (1948), pp. 120-1 45. 54) JEN*-*HU CHANG, "An Evaluati*o*n *o*f ehe 1948 THORN-THWAJTE Classificati*o*n," Anna*l*s, Ass*o*ciari*o*n *o*f A*m*erican Gc*o*graphers, V*o*l. 49 (1959), pp*.* 24-30. 55) W. L. PELTON, K. M. K1NG and C*.* B. TANNER, "An

E*v*a*l*uati*o*n *o*f ehe THORNTHWAJTE and Mean Te*m*perature Meth*o*ds f*o*r Deter*m*ining P*o*tential Evap*o*transpirati*o*n,"

Agr*o*n*om*y *Jo*urna*l*, V*ol*. 52 (1960), pp. 387-395. 56) G. *S*TANHJLL, "The Use *o*f ehe Piche Evap*o*ri*m*eter in ehe Calcu*l*ati*o*n *o*f Evap*o*rati*o*n," Quarter*l*y J*o*urnal, R*o*ya*l* Mete*o*r*o*l*o*gica*l So*ciety, V*o*l. 88 (1962), pp. 80-82.

³⁵) E. L. DEACON, C. H. B. PRIESTLEY, and W. C. SWIN-BANK, "Evap*o*rati*o*n and ehe Water Balance," in : C*l*i*m*at*olo*gy, UNE*S*CO (1958), p. 14.

³⁶⁾ E*.* R. ANDERSON, "Energy öudget *S*tudies," in : Water L*o*ss Investigati*o*ns. Lake Hcfner *S*tudies Technical Rep*o*rt, U. *S*. Ge*olo*gical *S*urvey Circular, N*o*. 29 (1952), pp. 71- 118.

When radiation is not directly measured, PENMAN uses crude estimates from cloudiness data; thus, the PENMAN method is very much dependent upon the accuracy of the radiation records. But even wich accurate radiation data, the formula does not a*pp*ly to *p*eriods of less than five days. In com*p*arison with a lysimeter, BusINGER obtained daily PENMAN estimates varying by as much as 25 *p*er cent, whereas the total over 25 days was estimated to within one *p*er cent 5⁷).

Inasmuch as the PENMAN formula estimates only *p*otential eva*p*otrans*p*iration, attem*p*ts have been made to a*pp*ly a *f*actor or to adjust the equation so that it can be used to estimate the *p*otential maximum eva*p*otrans*p*iration for a *p*articular area. The adjustment undoubtedly serves a s*p*eci*f*ic *p*ur*p*ose, but in no way im*p*roves the formula as such. In this connection, it needs to be *p*ointed out that no em*p*irical formula can be ex*p*ected to estimate *p*otential maximum eva*p*otrans*p*iration from the coast right to the heart of a continent.

Evaporimeters

Atmometers and eva*p*oration *p*ans have been extensively em*p*loyed by hydrologists and agronomists in obtaining estimates of eva*p*otrans*p*iration. The eva*p*oration *p*an is better than the atmometer, because the latter is unduly sensitively to the wind 58). Because color, size, and material all affect the eva*p*oration rate from a *p*an, the Wor*l*d Meteorologica*l* Organization has ado*p*ted the U. S. Weather Bureau Class A pan as the interim international standard for the International Geo*p*hysical Year. A working grou*p* under the Commission for Instruments and Method of Observation is examining the question of an international standard, including ehe *p*ossibility of using an insulated *p*an 59). lt is true that a *p*hysicist can quickly find faults even with a standard pan in its heat storage, rim effect, and the differences in albedo and roughness between water and land surfaces. But ex*p*erimental evidence shows that such theoretical shortcomings are of only minor consequence. Com*p*arisons of various methods with lysimeter readings in Hawaii⁶⁰), Israel ⁶¹), Japan⁶²), and California⁶³) indicate that

57) J. A. ßuStNGER, "Some Remarks on PENMANs Equation for the Evapotranspiration," Netherlands Journal of Agricultural Sciences, Vol*.* 4 (1956), pp*.* 77-80.

58) E*.* I. MuKAMMAL and J. P. ßRucE, Evaporation Mcasurements of Pan and Atmometer, Meteorological Branch, CIR.-300, Tec*.*-315*.* 1960.

59) T. J. NoRDENSON and D. R. ßAKER "Comparative Evaluation of Evaporation Instruments," Journal of Geophysical Research, Vol. 67 (1962), pp. 671-79.

 $60)$ JEN-HU CHANG, 1961, op. cit.

⁶¹) G. STANHILL, "A Comparison of Methods of Calculating Potential Evapotranspiration from Climatic Data, Israel Journal of Agricultural Research, Vol. 11 (1961), pp. 159-171.

62) S. SUZUKI and H. FUKUDA, "A Method of Calculating Potential Evapotranspiration from Pan Evaporation Data, Journal of Agricultural Meteorology, Vol*.* 13 (1958), pp. $81 - 85$.

63) PRUITT, op. cit.

the eva*p*oration *p*an is as accurate as any formula or field instrument for estimating *p*otential eva*p*otrans*p*iration in a humid climate and when *p*ro*p*erly ex*p*osed, the *p*otential maximum eva*p*otrans*p*iration in an arid climate. The data *f*rom Davis, California, in *p*articular, show that *p*an eva*p*oration and eva*p*otranspiration are highly correlated even on a daily basis. Fully realizing the va*l*ue o*f* the eva*p*oration *p*an, the grou*p* of ex*p*erts attending the UNESCO Canberra sym*p*osium on climatology recommended its wide use throughout the world ⁶⁴).

The extensive eva*p*oration *p*an records in the United States have been analyzed by KoHLER, NoRDENson and Fox ⁶⁵). They constructed maps of lake eva*p*oration based on the *p*an data. With the knowledge gained *f*rom lysimeter *f*or various cro*p*s, ma*p*s of lake eva*p*oration can in turn be converted into ma*p*s of *p*otential maximum eva*p*otrans*p*i ration.

In other *p*arts o*f* the world, measurement of *p*an eva*p*oration often lack homogeneity. The *p*ro*p*er ex*p*osure of the *p*an in an arid climate is a *p*articularly im*p*ortant factor to be considered.

Advected energy

Advected energy resolves itself into the "clothesline effect" and the "oasis effect". When warm air blows through a small *p*lot wich little or no guard area, a very severe horizontal heat transfer occurs, which TANNER calls the "clothesline effect" ⁶⁶). Inside a large field the vertical energy transfer from the air above to the cro*p* is called the "oasis effect" by Lemon et al **67).**

The clothesline effect cannot be tolerated in either agronomic or climatological investigations. lt re*p*resents the experimental bias due to the small size of the field. Both THORNTHWAITE⁶⁸) and STANHILL⁶⁹) consider an u*p*wind guard ring of 300 m. distance necessary to minimize the clothes*l*ine effect. At the edge of the border the eva*p*oration rate could increase by as much as 40 per cent due to the clothesline effect. Records of eva*p*oration *p*an and lysimeter that do not meet this requirement must be adjusted. In reducing the evaporimeter data, SUTTON's rule that the total eva*p*oration from a circular wet surface in com*p*letely dry surrounding varies wich the 1 .88th *p*ower of ehe radius is a useful a*pp*roximate guide ⁷⁰).

64) UNESCO, *Climatology and Microclimatology,* Canberra Symposium, 1958, p. 93.

⁽¹⁵) M. A. KOHLER, T. J. NORDENSON and W. E. FOX, Evaporation from Pans and Lakes, U. S. Wcather Bureau Research Paper No. 38, 1955.

66) C. B. TANNER, "Factors A ffecting Evaporation from Plants and Soils," Journal of Soil and Water Conservation, Vol. 12 (1957), pp. 221-227.

67) E*.* R. *L*EMON, A. *H*. GLASER, and *L*. E. SATTERWHITE, "Some Aspccts of the Relationship of Soil, Plant, and Mcteorological Factors to Evaporationspiration," Proccdings, Soil Science Society of America, Vol. 12 (1957), pp. 464-68.

⁶⁸) C. W. THORNTHWAITE, "A Re-examination of the concept and Measurement of Potential Evapotranspiration, Laboratory of Climatology Publication, Vol. 7 (1954), pp. 200-209.

69) STANHILL, 1961, op. cit.

70) 0. G. Su*T*TON, "Wind Structure and Evaporation in a Turbulent Atmosphere," Proceedings, Royal Society, *L*ondon, Ser. A. Vol. 146 (1934) pp. 701-722.

The oasis effect must be rec*k*oned wich as a climatic characteristic, since it is measurable many miles into an irrigated field. GAL'*T*So*v,* for instance, has observed the oasis effect in the center of large irrigated region in Kazakhstan⁷¹). This effect accounts for ehe excess of actual e*v*apotranspiration o*v*er ehe potential as prescribcd by the net radiation. In extreme cases the ad*v*ected energy may approach net radiation.

There is no simple way to e*v*aluate the ad*v*ected energy. The oasis effect depends upon the size of the field as well as upon the difference in temperature an humidity between the field and its surroundings. Any attempt to assess ehe ad*v*ected energy quantitatively requires a combination of both the turbulent transfer and the energy budget approach. Such a study has been underta*k*en by DE VRIES*,* who de*v*eloped a theoretical model based on the assumption of homogeneous surface and constant diffusi*v*ities 72). His approach is*,* howe*v*er*,* too complicated for general use. The apparatus for the eddy correlation technique is also useful in studying ehe ad*v*ecti*v*e influence. Climatologists will ha*v*e to wait until these methods are perfected before they can reconcile the difference between potential maximum e*v*apotranspiration wich confidence.

Vegetation ef fect

Meteorologists tend to dismiss ehe *v*egetational effect. They claim that the rate of potential evapotranspiration is dictated by the weather conditions. That this is indeed not true for all crops has been demonstrated by plant scientists. From the standpoint of water use*,* crops may be loosely di*v*ided into two groups: con*v*entional and non-con*v*entional. The water use of a conventional crop by and large meets the specification set by meteorologists. On the other hand, the potential evapotranspiration of a non-con*v*entional crop is greatly influenced by its physiology. Most of the field crops, however, belong to the con*v*entional group.

PENMAN and Sctt*O*FIELD 73) ga*v*e three reasons why the consumptive use of a short crop of the conventional group is less than open water e*v*aporation: (1) the higher albedo of ehe *v*egetation*,* (2) closure of stomata at night and (3) diffusion impedence of the stomata. NE*U*MANN argued from ehe standpoint of turbulence theory that the water use of a short crop is approximately 75 percent of the open water evaporation*,* a figure in close agreement wich measurements for short grass 74).

In general, the consumptive use of a conventional crop increase with its height. Thus the ratio between

73) H. E. PENMAN and R. K. ScHOFIELD, "Some Physical Aspects of Assimilation and Transpiration," Symposia Society of Expe*r*imental Biology, Vol. 5 (195 1), pp. 115-129.

74) *J* . NEUMANN, "On a Relationship Between Evapo*r*ation and Evapotranspi*r*ation," Bulletin, American Meteorological Society, Vol. 3*4* (1953), pp. *4*5*4*-*4*57.

e*v*apotranspiration and e*v*aporation from ehe Class A pan increases from 0.75 for short grass to 0.87 for corn 75) and to 1.0 for sugar cane ⁷⁶). The high water use by a tall *v*egetation is accounted for by the increased roughness (z_0) and zero plane displacement (d) at a gi*v*en wind speed. Apart from the fact that increased z**0** facilitates the remo*v*al of water *v*apor by *v*irtue of the steep wind speed gradient near the ground, ehe energy budget of a field is also altered. Other things being equal*,* the maximum noon temperature is lower and the minimum night temperature is higher, as values of z₀ and d increase⁷⁷). The lower maximum daytime temperature necessarily reduces the outgoing radiation and increases ehe net radiation 78) . DECKER*,* for instance*,* has found that ehe net radiation o*v*er bluegrass is 12 per cent less than o*v*er corn, and 8 per cent less than o*v*er alfalfa 70) . In Hawaii both sugar cane and pineapple ha*v*e a net radiation two-thirds of ehe incoming*,* yet ehe albedo of pineapple is only 5 per cent as against 16 per cent for sugar cane. The tall *v*egetation of sugar cane must ha*v*e a lower bac*k* radiation.

For the non-con*v*entional crops the consumpti*v*e use is largely determined by its physiology*,* particularly the beha*v*ior of the stomata. Rice*,* wich an e*v*apotranspiration and pan e*v*aporation ratio of 1.2 **RO)** and pineapple*,* with a ration of 0.33, are good examples. Lichen and moss in the_ ar.ctic are also *v*ery conser*v*ati*v*e in their water use.

For most of the annual crops the evapotranspiration reaches the potential rate only for a short period when the plant is acti*v*ely growing and the co*v*er is complete. In both the early and late growth stages water needs fall short of the potential. During the ripening period ehe consumpti*v*e use is slightly reduced senescence ⁸¹). In the early stage of the crop growth the low e*v*apotranspiration rate is due to the existence of a !arge portion of bare ground. Theoretically*,* if the ground is *k*ept wet all the time e*v*aporation from bare ground may e*v*en exceed free water e*v*aporation 82 . In reality*,* irrigation cannot be applied continuously to a large area. With high radiation conditions a surface mulch of dry soil may de*v*elop within a few hours after the soil is wetted*,* and from then on the

7 5) L. *J*. *F*RITS*C*HEN*,* "Transpiration and Evapotranspi*r*ation as Related to Meteo*r*ological Facto*r*s*,*" Iowa State University Ph. D. chesis, 1960.

76) *J*EN-H*U* CHANG, 1961, op. cit.

77) H. LETTAU, ,,Synthetische Klimatologie"*,* Berichte des Deutschen Wetterdienstes Bad Kissingen, Vol. 38 (1952), p. 127.

78) *D*. *W*. ScHOLTE UBING, "Solar and Net Radiation, Availa*b*le Energy and its Influence on Evapot*r*anspiration f*r*om Grass," Nethe*r*lands Journal of Ag*r*icultu*r*al Science, Vol. 9 (1961), pp. 81 93.

79) *W*. L. *D*E*C*KER, "Va*r*iation in ehe Net Exd1ange of Radiation from Vegetation of Different Heights," nal of Geophysical Resea*r*ch, Vol. 6*4* (1959)*,* pp. 1617-1619. 80) SUZUKI and FUKUDA, op. cit.

81) G. STANHILL, "The Control of Field Irrigation Practice f*r*om Mcasurement of Evaporation," Is*r*ael Journal of Ag*r*icultu*r*c Research, Vol. 12 (1962), pp. 51.62.

82) L. J. FRITS*C*HEN and C. H. M. VAN BAVEL*,* "Ene*r*gy Balance Components of Evapo*r*ating Surfaces in Arid Lands," Journal of Geophysical Resea*r*ch, Vol. 67 (1962)*,* pp. 5179-85.

⁷¹⁾ A. P. GAL'TSo*v*, "O Klimatishekom vzaimodeiztvii oroshaemykh i neroshaemykh ploshchadei,", Akad. Nauk SSSR, Ser. Geogr., Vol. 3 (1953), pp. 11-20.

⁷²⁾ *D*. A. DE VRIES, "The Influence of Irrigation on ehe Ene*r*gy Balance and ehe Climate Near ehe G*r*ound*,*" Journal of Meteorology, Vol. 16 (1959), pp. 256-270.

evaporation rate drops sharply. Thus, in the early stage of the crop cycle the evapotranspiration rate is only about 40 per cent of the pan evaporation, if the field is irrigated at weekly intervals 83). Freezing also curtails evapotranspiration. K1NG cites one instance in which the fraction of net radiation used in evapotranspiration dropped from 0.85 to 0.52 after freezing 84). Caution must be exercised in estimating the water needs of crops in high latitudes.

Actual evapotranspiration

*A*s the soil dries out, the actual evapotranspiration will, at some stage, fall below the potential rate. There is considerable controversy as to the effect of moisture tension on the depletion rate. The measurements made by VErHMEYER and HEN*D*RICKSON at Davis, California, show that evapotranspiration proceeds at the potential rate up to the wilting point and falls sharply thereafter⁸⁵). THORNTHWAITE and MATHER, on the other hand, show a linear decline of evapotranspiration with increasing tension, based on the data at O'Neill, Nebraska 86). There are still others who propose a compromise between these two extremes, PrERCEs curve as presented in Figure 1 being a good example 87).

The conflicting state of evidence is by no means due to experimental errors. Recent studies by *M*AK-KTNK and van HEEMST 88), and by DENMEA*D* and SHAW 89 suggest that the depletion rate is very much dependent upon environmental conditions. The constant depletion rate reported by VEIHMEYER and HEN-*D*RICKSON hold true in a humid, cloudy climate, particularly if the soil is heavy and covered by dense vegetation. Conversely, in bare sandy soil of an arid climate, the depletion rate would decline rapidly.

In view of the changing relationship between the moisture tension and the depletion rate, climatologists should select proper curves for the major climatic

83) B. D. Doss, 0. L. B*E*NN*E*TT, and D. A, Astt*LE*Y, "Evapotranspirat*i*on by Irrigated Corn," Agronomy .Journal, Vol. 54 (1962), pp. 497-498. JEN-HU CHANG, 1961, op. cit.

⁸⁴) K. M. KING, "Evaporation from Land Surfaces," *i*n: Proceed*i*ngs of Hydrology Sympos*i*um No. 2, Evaporat*i*on, National Research Counc*i*l of Canada, Toronto, 1961, p. 68.

85) F. J. V*E*IHM*E*Y*E*R, and A. H. H*E*NDRICKSON, "Does Transp*i*rat*i*on Decrease as the So*i*l Mo*i*sture Decreases?" Transactions, Amer*i*can Geophysical Un*i*on, Vol. 36 (1955), pp. 425-48.

86) C. W. THORNTHWAITE and J. R. MATHER, "The Water Budget and Its Use *i*n Irrigat*i*on," in : U. S. Department Yearbook of Agriculture, 1955, pp. 346-58.

87) L. T. P1*E*RC*E*, "Est*i*mat*i*ng Seasonal and Short-tcrm Fluctuations in Evapotranspirat*i*on from Meadow Crops," Bullet*i*n, Amer*i*can Meteorolog*i*cal Society, Vol. 39 (1958), pp. 73-78.

88) G. F. MAKKINK and H. D. J. VAN HEEMST, "The Actual Evapotransp*i*ration as a Funct*i*on of the P tential Evapotransp*i*rat*i*on and the So*i*l Mo*i*sturc Tens*i*on," Netherlands Journal of Agr*i*cultural Sc*i*ence, Vol. 4 (1956), pp. 67-72.

89) 0. T. D*E*NM*E*AD and R. H. SttAW, "Ava*i*lab*i*l*i*ty of So*i*l Water to Plants as Affected by Soil Moisture Content and Meteorolog*i*cal Condit*i*ons, Agronomy Journal, Vol. 45 (1962) , pp. 385-90.

regions, such as the humid tropics, arid land, taiga, and tundra, etc. The use of the wrong curve could result in sizable errors, as is demonstrated by HOL-MES 90). Ideally, the depletion curve should be checked against soil moisture readings, but even a crude adjusrment could improve the computation considerably. For some parts of the world the tables prepared by THORNTHWAITE and MATHER⁹¹) and the nomograph by WARTENA and VEL*D*MAN **92)** are useful references.

Water Balance

The water balance equation of the plant-soil system down to the rooting depth can be expressed as follows:

Rain fall - Evapotranspiration - Runoff - Leaching-Change in soil moisture storage.

Once the evapotranspiration is known, it remains only to determine the soil moisture storage to solve the equation. THORNTHWAITE and MATHER adopted a storage capacity of 30 cm as the average for the world **93).** This is at best crude. For many a practical problem the storage capacity must be measured with

⁹⁰) R. M. HOLMES, "Estimation of Soil Moisture Content Using Evaporation Data", *i*n: Proceed*i*ngs of Hydrology Symposium No. 2, Evaporat*i*on, Nat*i*onal Research Counc*i*l of Canada, Toronto, 1961, pp. 184-96.

⁹¹) C. W. THORNTHWAITE and J. R. MATHER, Instructions and Tables for Comput*i*ng Potential Evapotransp*i*rat*i*on and the Water Balance, Laboratory of Cl*i*matology Publ*i*-

cat*i*on, Vol. 3 (1957), pp. 185-311. 92) L. WART*E*NA and E. C. V*E*LDMAN, "Est*i*mat*i*on of Bas*i*c Irr*i*gat*i*on Requ*i*rements," Netherlands Journal of Agr*i*-

cultural Sc*i*ence, Vol. 9 (1961), pp. 293-298. 93) C. W. TttORNTHWAIT*E* and J. R. MATH*E*R, The Water Balance, Laboratory of Climatology (1955), 104 pp.

precision*. F*or the purpose of comparative climatology on a continental scale*,* it is best to adopt standard values for various major regions of the world*.* Routine observations of soil moisture have been carried out in agrometeorological stations in Russia and West Germany for many years. lncreasing effort have been made elsewhere to measure the storage capacity following the recommendation by the World Meteorological Organization ° 4)*.* With the use of neutron scattering meters*,* pressure membrane apparatus*,* and other instruments*,* the problem of determining soil moisture storage capacity is no more than one of proper sampling*.*

*I*n the water balance computation*,* runoff and leaching are lumped together as surplus*. S*urplus occurs whenever the rainfall exceeds the moisture storage capacity*.* This is only an approximation. *I*n actuality runoff is the excess of rainfall over infiltration rather than over storage*. F*urthermore*,* the disposal of excess water in the form of leaching does not take place immediately. The process takes a day or even longer. While significant errors may incur in daily computation due to incorrect assumptions regarding runoff and leaching*,* they cannot be !arge when applied to a period of a 11101*1*th or longer*,* especially over a !arge area*.*

The difference between rainfall and surplus is a measure of the effective rainfall*.* The effective rainfall is much less variable than rainfall and*,* when computed on a daily basis*,* it can be accurately determined with a short period of records*,* say three to five years*.* The effective rainfall is not very sensitive to an error in the estimate of storage capacity*.* The maximum difference caused by using two different values of storage capacity cannot exceed the difference in storage capacity times the number of heavy rains exceeding the larger of the two. The writer has found that in most parts of Hawaii the difference in effective rainfall by assuming storage capacities of two and four inches is only about four inches a year 95). World maps of effective rainfall are urgently needed in agricultural climatology. They can be constructed fairly accurately with the information now available*.*

Drought occurs whenever the soil moisture is depleted*.* The total amount of water deficit throughout the year is a measure of the aridity of a climate*.* The amount of water deficit is*,* however*, q*uite sensitive to a change in moisture storage capacity*.*

Example of application

The solution of the water balance e*q*uation has many practical applications which have yet to be fully explored*,* one example being the study of the relation between climate and yield*.* The customary procedure of correlating yield with temperature and rainfall is so crude that it contributes little in actual operation and long-range regional planning*.* Only through the application of the water balance and other sophisticated concept could the effect of climate on yield be expressed in exact*, q*uantitative terms*.*

Physiologically*,* the dry matter production of a crop is proportionate to the amount of transpiration*. F*ollowing this concept*,* the research workers at the Experiment *S*tation*,* Hawaiian *S*ugar Planters' Association*,* have derived a relation between water and sugar cane yield as presented in *F*igue 2 96)*. I*n this diagram

Fig. 2: Relationship between sugar cane yield and water application in Hawaii. Excessive water reduces yield.

the ratio between the actual and potential yield is expressed as a function of the ratio between actual and potential evapotranspiration*.* The latter *q*uantity is a measure of the ade*q*uacy of water application. The solid portion of the curve can be expressed by the following equation:

Actual yield	$=$	$-$	$0.61 + 2.70X - 1.09X^2$
Potential yield	$=$	$-$	$0.61 + 2.70X - 1.09X^2$
where $X =$	$\frac{\text{Actual evaporation}}{\text{Potential evaporation}}$		

*S*ince the value of X can be readily evaluated by water balance computation*,* the potential yield of an area*,* when water is not limiting*,* can be estimated from actual yields*.* The yield loss due to inade*q*uate water application can also be assessed*.* The e*q*uation has been found to be accurate within seven per cent of the actual yield data*.*

The e*q*uation has been used as the basis for computing various alternatives of land use and water resources planning in the sugar industry in Hawaii*. F*or the first time it has been possible to determine the most profitable land and water use pattern for a given set of condition. Whether such studies of plant and

⁹ 4) International Meteorological Organization, Commission for Agricultural Meteorology, Eighth Session at To-

ronto, August 1947, Final Report, 1949, pp. 28—30.
^{#5}) JEN-нu Снамс, "The Role of Climatology in the Hawaiian Sugar I ndustry: An Example of Applied Agricultural Climatology in the Tropics," to be published in the Pacific Science, July, 1963.

⁹⁶) JEN-HU CHANG, R. B. CAMPBELL, and F. E. ROBINSON, "On the Relationship Between Water and Sugar Cane Yield in Hawaii," to be published in: Agronomy Journal, Sept. 1963.

yield relationship are geographical or not, they certainly open up a new horizon in regional analysis of land use and water resources management.

Conclusion

The study of evapotranspiration has been a difficult and often controversial subject in meteorology. Part of the difficulty stems from the fact that the potential evapotranspiration and potential maximum evapotranspiration are not distinguished even among the research workers in the field. For the estimation of potential evapotranspiration the PENMAN equation and the simple energy budget approach are adequate. For the determination of potential maximum evapotranspiration, the evaporation pan, when properly handled, is probably the best field instrument, although the apparatus for eddy correlation technique show great promise. In any event the various methods should be checked against lysimeter results whenever possible.

The large body of lysimeter, pan evaporation, energy budget and other data scattered throughout the world needs to be assembled and put into useful form. For those parts of the world where routine observations are scanty, sample studies of the BowEN ratio, the relationship between net and incoming radiation, the depletion curve, and the storage capacity go a long way toward solving the water balance equation. Such sample studies do not have to be carried out for a long time, since most items in the aquation do not vary greatly from year to year. This derivation of climatic norms from a few point studies is one of the objectives of what BRYSON calls "field climatology" 97).

The study of the water balance requires a consideration not only of meteorological facts, but also of soil and vegetation factors. The classifications by KÖPPEN and THORNTHWAITE leave much to be desired in view of recent progress in the study of evapotranspiration. When properly determined, each item in the water balance equation has a definite physical meaning. No longer should moisture conditions be characterized in vague or meaningless indices, suitable for descriptive and pedagogic purposes but invariably fail ing outside the classroom. If quantification has a place in human geography, all the more should it be used with precision in physical geography.

Literature

This article is based on several special papers, which are rather difficult to come by in Central Europe. On the other hand there are quite a few essays on this topic in German scientific writing as weil. The editors would like to mention only the following:

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For further reading see bibliography in :

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DIE SÜDLICHEN OSTERSEEN BEI IFFELDORF IN OBERBAYERN

Luftbildinterpretation einer Jungglaziallandschaft

Mit 3 Abbildungen und 1 Luftbild

JOHANN BODECHTEL

Summary: The southern Oster lakes near Iffeldorf, Upper Bavaria.

Using as example the Oster lake area, the relief of which is due to the disintegration of the Quaternary ice sheet, the paper demonstrates the applicability of geomorphological interpretation of air photographs for identifying glacial deposics. Photo-geological analysis facilicates their immediate classificacion. Various glacial landforms which are frequently only distinguishable on an morphological basis, such as aser, drumlins, kames and kettleholes, can chus be mapped wich a degree of precision hardly attainable in field mapping.

Die photogeologische und geomorphologische I nterpretation, die sich zur Deutung eines Luftbildes weitgehend an typische morphologische Erscheinungen anhängt, ist ganz besonders geeignet, in formenreichen Glaziallandschaften, wo die Mannigfaltigkeit des Kleinreliefs im Gelände das Bild leicht verwirrt, als bevorzugtes Hilfsmittel zu dienen. Neben dem größeren überblick über die allgemeinen Zusammenhänge erlaubt die stereoskopische Betrachtung von Luftbildern auch ein detailliertes Studium der oft nur nach ihrer Ausbildung und Form einzustufenden glazialen Bildungen. Als äußerst günstig erweist sich die zweibis vierfache Überhöhung des Reliefs im Stereomodell. So ist es möglich, in einer Glaziallandschaft, die zwar formenreich ist, aber meist keine großen Höhenunterschiede aufweist, durch die Überhöhung auch noch kleinste Reliefunterschiede zu unterscheiden und so kleine, aber oft typische Formen übertrieben und somit klarer und eindeutiger zu erkennen.

Das hier herausgegriffene Beispiel umfaßt einen Teil des bekannten Osterseengebietes (RoTHPLETZ 1917, WASMUND 1934, ZORELL 1924, 1941) südlich des Starnberger Sees (Abb. 1). Diese Seenplatte zeigt eine typische Eiszerfall-Landschaft der Rückzugsphase der letzten Würmvereisung, die sich in diesem Gebiet vom Südufer des Starnberger Sees bis an den Alpenrand bei Kochei und Murnau erstreckt (TROLL 1938). Das Luftbild, das unter dem Stereoskop mit Hilfe der seitlich bei 60 0/o Überlappung anschließenden Bildern interpretiert wurde, erfaßt einen Teil des Ostersees und der Staltacher Seen am oberen Bildrand, den Fohnsee, den Sengsee und die Iffeldorfer Seen. Am rechten

⁹⁷⁾ R. A. BRYSON, oral communication.